Upgrading to Version 11.1 of IBM Db2 for Linux, UNIX, and Windows
# Content

<table>
<thead>
<tr>
<th>Chapter</th>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Introduction</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>1.1 Document History</td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>1.2 What's New in DB2 11.1</td>
<td>5</td>
</tr>
<tr>
<td>2</td>
<td>Planning</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>2.1 Upgrade Restrictions</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>2.2 Upgrade Requirements</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td>2.3 Upgrading the Database in Special Environments</td>
<td>11</td>
</tr>
<tr>
<td>3</td>
<td>Upgrading the Database Under Linux and UNIX</td>
<td>13</td>
</tr>
<tr>
<td></td>
<td>3.1 Introduction</td>
<td>13</td>
</tr>
<tr>
<td></td>
<td>3.2 Installation of the DB2 Software</td>
<td>13</td>
</tr>
<tr>
<td></td>
<td>3.3 Upgrading the Instance and Database</td>
<td>21</td>
</tr>
<tr>
<td>4</td>
<td>Upgrading the Database under Windows</td>
<td>27</td>
</tr>
<tr>
<td></td>
<td>4.1 Introduction</td>
<td>27</td>
</tr>
<tr>
<td></td>
<td>4.2 Installation of the DB2 Software</td>
<td>27</td>
</tr>
<tr>
<td></td>
<td>4.3 Upgrading the Database</td>
<td>37</td>
</tr>
<tr>
<td>5</td>
<td>Post-Upgrade Activities</td>
<td>40</td>
</tr>
<tr>
<td></td>
<td>5.1 Overview</td>
<td>40</td>
</tr>
<tr>
<td></td>
<td>5.2 Updating the startdb Scripts (Only for Linux and UNIX)</td>
<td>40</td>
</tr>
<tr>
<td></td>
<td>5.3 Running the db6_update_db Script (Mandatory)</td>
<td>40</td>
</tr>
<tr>
<td></td>
<td>5.4 Backing Up the DB2 Server Configuration After the Upgrade (Recommended)</td>
<td>41</td>
</tr>
<tr>
<td></td>
<td>5.5 Installing the DB2 License (Mandatory)</td>
<td>42</td>
</tr>
<tr>
<td></td>
<td>5.6 Checking Configuration Settings (Recommended)</td>
<td>43</td>
</tr>
<tr>
<td></td>
<td>5.7 Updating Data Extractors in SAP Solution Manager (Optional)</td>
<td>43</td>
</tr>
<tr>
<td></td>
<td>5.8 Post-Upgrade Activities for Near-Line Storage (NLS) Systems</td>
<td>44</td>
</tr>
<tr>
<td>6</td>
<td>Disclaimer</td>
<td>46</td>
</tr>
</tbody>
</table>
1 Introduction

Purpose

This document explains how you upgrade your database to Version 11.1 of DB2 for Linux, UNIX, and Windows if your database is DB2 Version 9.7, DB2 Version 10.1, or DB2 Version 10.5 for Linux, UNIX, and Windows. It contains specific information and recommendations for SAP system environments.


Note

- Before you start the database upgrade, make sure that you read SAP Note 2311008. This SAP Note contains the most recent information about the database upgrade, as well as corrections to this document. Make sure that you always have the most recent version of this SAP Note.
- For a list of known errors and available fixes in DB2 Version 11.1, see also SAP Note 2311008.

Upgrade Process

To upgrade the database to DB2 Version 11.1, you perform the following steps:

1. You plan the upgrade and check the requirements.
2. You install the DB2 11.1 database software.
3. You upgrade the DB2 instance.
4. You upgrade the DB2 database.
5. You perform post-upgrade activities.

These steps are described in detail for Linux/UNIX and for Windows in the appropriate sections.

Naming Conventions

Database Terminology

Table 1:

<table>
<thead>
<tr>
<th>Database Version</th>
<th>Short Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>IBM DB2 Version 11.1 for Linux, UNIX, and Windows</td>
<td>DB2 11.1</td>
</tr>
<tr>
<td>Database Version</td>
<td>Short Name</td>
</tr>
<tr>
<td>--------------------------------------------------------------------------------</td>
<td>------------</td>
</tr>
<tr>
<td>IBM DB2 Version 10.5 for Linux, UNIX, and Windows</td>
<td>DB2 10.5</td>
</tr>
<tr>
<td>IBM DB2 Version 10.1 for Linux, UNIX, and Windows</td>
<td>DB2 10.1</td>
</tr>
<tr>
<td>IBM DB2 Version 9.7 for Linux, UNIX, and Windows</td>
<td>DB2 V9.7</td>
</tr>
</tbody>
</table>

**SAP Terminology**

- SAP NetWeaver Application Server ABAP is referred to as AS ABAP.
- SAP NetWeaver Application Server Java is referred to as AS Java.

**Variables**

Table 2:

<table>
<thead>
<tr>
<th>Name of Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;SAPSID&gt;</td>
<td>SAP system ID in upper case</td>
</tr>
<tr>
<td>&lt;sapsid&gt;</td>
<td>SAP system ID in lower case</td>
</tr>
<tr>
<td>&lt;DBSID&gt;</td>
<td>Database name in upper case</td>
</tr>
<tr>
<td>&lt;dbsid&gt;</td>
<td>Database name in lower case</td>
</tr>
</tbody>
</table>

**Note**

The database name is not necessarily the same as the SAP system ID. For example, the database name is not necessarily the same as the SAP system ID in an MCOD (Multiple Components in One Database) environment.

**1.1 Document History**

**Caution**

Before you start the implementation, make sure you have the latest version of this document. You can find it at [https://help.sap.com/viewer/db6_upgrade_11_1](https://help.sap.com/viewer/db6_upgrade_11_1) on SAP Help Portal. On the webpage, there’s also a button to download the PDF version of this guide.
The following table provides an overview of the most important document changes:

Table 3:

<table>
<thead>
<tr>
<th>Version</th>
<th>Date</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>2016-08-01</td>
<td>Initial version</td>
</tr>
<tr>
<td>1.1</td>
<td>2017-01-17</td>
<td>Update of section SAP System-Specific Requirements in chapter Upgrade Requirements [page 8] (regarding SAP Kernel releases)</td>
</tr>
<tr>
<td>1.2</td>
<td>2017-08-24</td>
<td>Information in Upgrade Requirements [page 8] was added: dmdb6bkp is no longer part of the SAP delivery with kernel 7.40 and higher. Section Post-Upgrade Activities for Near-Line Storage (NLS) Systems [page 44] was added.</td>
</tr>
</tbody>
</table>

1.2 What's New in DB2 11.1

DB2 11.1 offers the following new features:

- **Enhancements for Column-Organized Tables**
  DB2 11.1 introduces several enhancements to the use of column-organized tables. You can now use column-organized tables, for example, in partitioned database environments.

- **DB2 pureScale Feature Enhancements**
  Enhancements for the pureScale Feature include a simplified installation and deployment process and a new health check.

- **New Upgrade Possibilities**
  It’s now possible to upgrade from the previous three DB2 releases (DB2 9.7, DB2 10.1, and DB2 10.5) directly to DB2 11.1. When upgrading from a DB2 10.5 FP 7 or higher single-partition server, a full offline backup is no longer required as DB2 is now able to roll forward through a database version upgrade.

- **Online Reorganization of Range-Partitioned Tables**
  A single partition of a range-partitioned table can now be reorganized online (using the INPLACE option of the REORG command) if all indexes of the table are also partitioned.

- **HADR Encryption**
  Communication in an HADR setup can now be encrypted via SSL protocol.

For more information about the support of new features of DB2 11.1 in your SAP system, see SAP Note 2303763.

If you’re interested in what was new with previous DB2 releases, see the relevant upgrade guides at [http://service.sap.com/instguidesnw > Database Upgrades > DB2 UDB](http://service.sap.com/instguidesnw > Database Upgrades > DB2 UDB) or use the following direct links for DB2 10.5 and DB2 10.1:

[http://service.sap.com/%7Esapidb/011000358700000843422013E](http://service.sap.com/%7Esapidb/011000358700000843422013E)
http://service.sap.com/%7Esapidb/011000358700000808392012EP
2 Planning

2.1 Upgrade Restrictions

Use

There are restrictions on the upgrade to DB2 11.1 regarding the following:

- Operating system
- Database
- SAP system

Operating System-Related Restrictions

- DB2 11.1 is not available for HP-UX, Solaris, and Linux on Power Big Endian. For a list of supported operating systems in an SAP environment, see Upgrade Requirements [page 8].
- The use of raw devices for database logging is discontinued in DB2 11.1. You need to change the setting of the database configuration parameter NEWLOGPATH to a disk device instead of a raw device.

Database-Related Restrictions

If you are running DB2 for Linux, UNIX, and Windows Version 9.5 or lower, you first have to upgrade the database to DB2 Version 9.7, 10.1, or 10.5 as described in the relevant upgrade guide at http://service.sap.com/instguides Database Upgrades DB2 UDB.

SAP System-Related Restrictions

- DB2 11.1 is not supported for SAP system releases lower than SAP NetWeaver 7.0. If you have an older SAP release, you must upgrade your SAP system to SAP NetWeaver 7.0 or higher before you can use DB2 11.1.
- The procedures described in this guide assume that your SAP system uses the DB2 CLI Driver.

Note

All SAP systems with SAP Basis 7.0 and higher and DB2 9.1 or higher were initially installed with the DB2 CLI Driver. The usage of the DB2 CLI Driver has several important benefits. If your system still uses the DB2 Runtime Client, see SAP Note 1091801 to find out how you can switch to the DB2 CLI Driver. For more information about the DB2 client connectivity setup in an SAP system, see the article The New DB2 Client Setup in SAP Systems with DB2 for Linux, UNIX, and Windows at http://scn.sap.com/docs/DOC-14356.

More Information

For more information, see Upgrade restrictions for DB2 servers in the IBM Knowledge Center at https://www.ibm.com/support/knowledgecenter/SSEPGG_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/c0007191.html.
2.2 Upgrade Requirements

When you plan your database upgrade, make sure that the following requirements are met:

- Operating system requirements
- SAP system-specific requirements
- Space requirements
- Other requirements

Operating System Requirements

- The upgrade to DB2 11.1 is supported for the following operating systems:
  - Windows on x64
  - AIX
  - Linux on x64

  DB2 11.1 is not available on HP-UX and Solaris. On Windows, at least Windows Server 2012 is required.

  **Note**
  Make sure that your operating system fulfills all prerequisites for the installation of DB2 11.1. For more information, see System requirements for IBM DB2 for Linux, UNIX, and Windows at http://www-01.ibm.com/support/docview.wss?uid=swg27038033.


SAP System-Specific Requirements

  **Note**
  The SAP system-specific requirements for DB2 11.1 are the same as for DB2 10.5. If your system already meets the requirements for DB2 10.5, you have nothing to do.

- Since a specific version of the database shared library (DBSL) dbdb6slib is required for the SAP kernel, make sure that you have applied the correct SAP kernel patch before you start the database upgrade.
The following table shows the minimum DBSL version that is required:

<table>
<thead>
<tr>
<th>SAP Kernel Release</th>
<th>DBSL Patch Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.21</td>
<td>410</td>
</tr>
<tr>
<td>7.22</td>
<td>39</td>
</tr>
<tr>
<td>7.45</td>
<td>0</td>
</tr>
</tbody>
</table>

To check the current patch level of your DBSL, proceed as follows:
1. Log on to an application server as user `<sapid>adm`.
2. Enter the following command:
   ```
   disp+work -v
   ```
You can find the DBSL patch information at the end of the output. For more information about how to download and apply the latest SAP kernel patch, see SAP Note 194667.

➡️ **Recommendation**

We recommend that you install the latest available SAP kernel patch before you start the DB2 upgrade.

- You must use the following minimum SAP Basis release levels:

<table>
<thead>
<tr>
<th>SAP Basis Release</th>
<th>SAP Basis Support Package</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.00</td>
<td>SP 27</td>
</tr>
<tr>
<td>7.01</td>
<td>SP 12</td>
</tr>
<tr>
<td>7.02</td>
<td>SP 12</td>
</tr>
<tr>
<td>7.10</td>
<td>SP 15</td>
</tr>
<tr>
<td>7.11</td>
<td>SP 10</td>
</tr>
<tr>
<td>7.30</td>
<td>SP 8</td>
</tr>
<tr>
<td>7.31</td>
<td>SP 5</td>
</tr>
<tr>
<td>7.40</td>
<td>SP 2</td>
</tr>
<tr>
<td>7.50</td>
<td>SP 0</td>
</tr>
</tbody>
</table>
In addition to the support packages mentioned above, you must implement the following SAP Notes:

Table 6:

<table>
<thead>
<tr>
<th>SAP Note</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1835822</td>
<td>DB6: Compatibility Patches for IBM DB2 10.5 for LUW</td>
</tr>
</tbody>
</table>

- The following SAP tools for DB2 require a specific patch level to be able to work with DB2 11.1:

Table 7:

<table>
<thead>
<tr>
<th>Tool</th>
<th>Patch Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>dmdb6bkp</td>
<td>16</td>
</tr>
<tr>
<td>brdb6brt</td>
<td>27</td>
</tr>
</tbody>
</table>

Note that `dmdb6bkp` is no longer part of the SAP delivery with kernel 7.40 and higher.

For more information about how to download and apply the latest kernel patch for these tools, see SAP Note 19466.

### Space Requirements

- Before the database upgrade, you have to check the size of the SYSCATSPACE tablespace. If SYSCATSPACE is not enabled for the automatic resize function (AUTORESIZE), you must make sure that at least 50 percent of all pages is free. If AUTORESIZE is enabled or if you are using DB2's automatic storage management (AUTOSTORAGE), you have to check if there is enough free space available in the file systems.
- The upgrade uses the temporary tablespace. In an SAP database, the temporary tablespace is an SMS tablespace with the name `PSAPTEMP<pagesize>`. The file system in which this tablespace is located must allow the temporary tablespace to grow to twice the size that is required by SYSCATSPACE.
- During the upgrade, logs are written. The size of the required log space is related to the size of SYSCATSPACE. To avoid log full situations, make sure that enough log space is available.

**Recommendation**

As a rough guideline, make sure that your log space is at least as large as SYSCATSPACE.

If you followed the parameter recommendations for the database parameters `LOGFILSZ`, `LOGPRIMARY`, and `LOGSECOND` as described in the relevant SAP Note for standard parameter settings (see below under *More Information*), the upgrade should run without problems.

In a partitioned database environment, you only need to check the size of the log space on the catalog partition.

To check the available log space, proceed as follows:
1. Log on to the database server as user `db2<dbsid>`.

Upgrading to Version 11.1 of IBM Db2 for Linux, UNIX, and Windows

Planning
2. Connect to the database using the following command:
   `db2 connect to <DBSID>`

3. Check the available log space using the following command:
   `db2 get snapshot for all databases`
   The available log space is displayed in the following row of the output:
   Log space available to the database (Bytes)
   For more information, see *Increasing table space and log file sizes before upgrade* in the IBM Knowledge Center at https://www.ibm.com/support/knowledgecenter/SSEP0G_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/t0022269.html.

Other Requirements

- You must perform a backup before you start the database upgrade. We recommend that you perform an offline backup. For more information about backup options, see *Backing up databases before or after upgrade* in the IBM Knowledge Center at https://www.ibm.com/support/knowledgecenter/SSEP0G_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/t0007139.html.
- For the latest additions and corrections to this document, see SAP Note 2311008.

More Information

As for space requirements, see the following SAP Notes with database parameter recommendations:

- DB2 11.1: 2303771
- DB2 10.5: 1851832
- DB2 10.1: 1692571
- DB2 V9.7: 1329179

2.3 Upgrading the Database in Special Environments

This section provides information about upgrading the database in special environments.

Upgrading a Multi-Partition Database

Before you can upgrade a multi-partition database, you have to install the DB2 11.1 software on all database partition servers. The DB2 software can also be provided for all servers using a shared directory.

For more information, see *Upgrading partitioned database environments* in the IBM Knowledge Center at https://www.ibm.com/support/knowledgecenter/SSEP0G_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/t0011548.html.
Upgrading the Database in an HADR Environment

In an HADR environment, you can upgrade the primary database and afterwards re-initialize the standby server. If you upgrade a single-partition non-pureScale environment form DB2 10.5 Fix Pack 7 or higher, you have now also the option to upgrade the primary database without the need to re-initialize the standby database. For more information, see Upgrade DB2 High Availability Disaster Recovery (HADR) environments in the IBM Knowledge Center at https://www.ibm.com/support/knowledgecenter/SSEPGG_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/c0070028.html.

Upgrading the Database in a Microsoft Cluster (MSCS) Environment

To upgrade your database to DB2 11.1 in a Microsoft Cluster environment, you have to install a new copy of DB2 11.1 on all cluster nodes and upgrade the instance and the database manually. For more information, see Upgrading DB2 servers in Microsoft Cluster Server environments in the IBM Knowledge Center at https://www.ibm.com/support/knowledgecenter/SSEPGG_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/t0022647.html.

Upgrading the Database in an IBM Tivoli System Automation for Multiplatforms (SA MP) Environment

To upgrade a DB2 database that is part of an SA MP cluster, you must first take it out of control of the cluster management software. To do so, set the respective resource groups offline and switch SA MP into manual control mode using the command samctrl –MT.

3 Upgrading the Database Under Linux and UNIX

3.1 Introduction

Purpose

The following sections describe the required steps for the database upgrade when your operating system is UNIX or Linux.

⚠️ Caution

You must perform a backup before you start the upgrade. We recommend that you perform an offline backup. For more information about backup options, see Backing up databases before or after upgrade in the IBM Knowledge Center at https://www.ibm.com/support/knowledgecenter/SSEPGG_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/t0007139.html.

Process Flow

The database upgrade consists of the following steps that you must perform in the specified order:

1. You install the DB2 software [page 13].
2. You upgrade the instance and the database [page 21].
3. You perform post-upgrade activities [page 40].

3.2 Installation of the DB2 Software

3.2.1 Introduction

The installation of the DB2 11.1 software consists of the following steps that you must perform in the specified order:

1. Installing the Database Software on the Database Server [page 14]
2. Updating the Database Client Software [page 18]
3.2.2 Installing the Database Software on the Database Server

Use

The following section describes how you install the database software on the database server for Linux or UNIX operating systems. The DB2 software installation process has changed with DB2 11.1 and consists now only of a few steps.

⚠️ Caution

If you are running a partitioned DB2 database system, the DB2 11.1 software must be available in exactly the same directory on all database hosts. Therefore, we recommend that you install the software on a share that is accessible on all database hosts.

Procedure

1. Log on to the database server as user root and make sure that you can open programs with a graphical user interface.
2. Insert and mount the database DVD under <DVD_mount> and enter the following command, depending on your operating system and processor architecture:

<table>
<thead>
<tr>
<th>Operating System</th>
<th>Command</th>
</tr>
</thead>
<tbody>
<tr>
<td>AIX</td>
<td>/&lt;DVD_mount&gt;/AIX_64/ESE/disk1/db2setup</td>
</tr>
<tr>
<td>Linux x86_64 (AMD64)</td>
<td>/&lt;DVD_mount&gt;/LINUXX86_64/ESE/disk1/db2setup</td>
</tr>
</tbody>
</table>
3. On the **Welcome** screen, choose **New Install**:

![DB2 Welcome - New Install](image)

*Figure 1: DB2 Welcome - New Install*

![Choose a Product screen](image)

**Figure 2: Choose a Product**


5. On the Configuration screen, perform the following actions:
   - Specify the target directory for the DB2 11.1 software installation. Note that on Linux, `ibm` is in lower case.

**Note**

As of DB2 10.5, there is only one install image for the DB2 Workgroup, Enterprise, and Advanced Edition. The applied DB2 license decides which edition will actually be used.
Recommendation

You should not use the default installation paths `/opt/ibm/db2/V11.1` for Linux and `/opt/IBM/db2/V11.1` for AIX. Instead, use a subdirectory of the home directory of the instance-owning user such as the following:

```
/db2/db2<dbsid>/db2_V11.1
```

We recommend using such a subdirectory because current versions of the SAP installation tool install a local copy of the DB2 software in a subdirectory of the home directory of the instance-owning user, for example, `/db2/db2<dbsid>/db2_software`. This has the advantage that you can maintain the database software of the DB2 copies independently of each other for each SAP system on the same host.

To check the location of the currently installed DB2 version, log on as user `db2<dbsid>` and enter the `db2level` command.

Caution

Do not install DB2 11.1 in the current instance directory of your DB2 instance `/db2/db2<dbsid>/sqllib` or below.

- Deselect the Create an instance checkbox.
- Select the I agree to the IBM terms checkbox.
- Click Next.

![Figure 3: DB2 Configuration Screen](image)
6. On the Response File and Summary screen, choose **Install DB2 Server Edition on this computer and save my settings in a response file** and click on **View Full Summary**. After you have checked the summary, choose **Finish**:

![Response File and Summary](image)

7. When the DB2 software installation is finished, review the installation log to make sure the installation was successful.

---

### 3.2.3 Updating the Database Client Software

**Use**

You only have to update the DB2 CLI driver once in the shared directory `/usr/sap/<SAPSID>/SYS/global/db6`.

Each application server can access this directory. Therefore, after the DB2 CLI driver has been updated, it can be used by all application servers.
Procedure

1. Log on to the database host as user <sapsid>adm.
2. Mount the DVD **DB2 V11.1 Client DVD**.
3. Switch to directory `<mount_DVD_Dir>/CLIENT`.
4. For an **ABAP** or **ABAP+Java** system, start the `db6_update_client.sh` script using the following command:
   ```bash
   ./db6_update_client.sh -u
   ```
   For a **Java-only** system, use the following command to update only the JDBC driver:
   ```bash
   ./db6_update_client.sh -j
   ```
   For more information and the latest version of the `db6_update_client` scripts, see SAP Note **1365982**.

Result

The new version of the DB2 CLI driver is automatically used after the next SAP system restart, and no further action is required.

3.2.3.1 Updating the JDBC Driver in Older SAP Releases

Use

The following section describes how you update the JDBC driver in older SAP releases where it is not located in the shared directory `/usr/sap/<SAPSID>/SYS/global/db6`.

Prerequisites

Since in older SAP releases, the location of the JDBC driver can vary – which means that the appropriate steps to update it can also vary – you should first determine the location of the JDBC driver, which depends on your SAP system release level.

Determining the Location of the JDBC Driver for SAP Releases Lower than SAP Basis 7.10

1. Log on to the respective application server as user `<sapsid>adm`.
2. Change to the directory `../cluster/bootstrap` of the Java instance using the following command:
   ```bash
   cd /usr/sap/<SAPSID>/DVEBMGS<instance-no>/j2ee/cluster/bootstrap
   ```
3. Determine the location of the JDBC driver using the following command:
   ```bash
   grep driver bootstrap.properties
   ```
   The value of this property of the `rdbms.driverLocation` is returned. It contains the location of the currently used JDBC driver.

Determining the Location of the JDBC Driver for SAP Releases with SAP Basis 7.10 and Higher

Upgrading to Version 11.1 of IBM Db2 for Linux, UNIX, and Windows
Upgrading the Database Under Linux and UNIX
1. Log on to the respective application server as user <sapsid>adm.
2. Change to the profile directory of the Java instance using the following command:
   ```
   cd /usr/sap/<SAPSID>/SYS/profile
   ```
3. Enter the following command:
   ```
   grep dbdriver <instance_profile>
   ```
   The path to the JDBC driver is returned.

**Procedure**

**Updating the JDBC Driver in a Central System**

The JDBC Driver is part of every database installation. In a central system where all SAP instances are installed on one host, all application servers can use the JDBC driver that is provided by the database system. On all UNIX and Linux platforms, DB2 uses symbolic links to point from the instance directories to the software installation directory.

In a central system, the JDBC driver files should be taken from the following path:

```
/db2/db2<dbsid>/sqllib/java
```

**Example**

For example, for DB2 V9.7, this link points to the following directory:

```
/opt/IBM/db2/V9.7/java
```

During the database upgrade, this link is automatically updated to the new DB2 software installation directory and therefore the JDBC driver of the new DB2 version is used. However, if `/db2/db2<dbsid>/sqllib/java` is not used to specify the location of the JDBC driver files, the AS Java system still uses the old JDBC driver after a database upgrade. The problem is not immediately obvious because the AS Java system still starts and stops.

However, applications that are using certain new functions that are provided only by the new JDBC driver cause errors during execution.

**Caution**

Due to a problem in the 6.40 version of the SAP installation tool, even if `/db2/db2<dbsid>/sqllib/java` is specified during the installation, the release-dependent directory (for example, `/opt/IBM/db2/V9.7/java` for DB2 Version 9.7) is inserted into the configuration files of the AS Java.

If the wrong path (for example, `/opt/IBM/db2/V9.7/java`) is found in the configuration files of a central AS Java installation, you must manually replace it with the correct path `/db2/db2<dbsid>/sqllib/java` as described in SAP Note 867976.
Update the JDBC driver according to one of the following scenarios:

Table 9:

<table>
<thead>
<tr>
<th>Scenario</th>
<th>Required Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>The JDBC driver is taken from a DB2 server installation. In this case, the directory retrieved using the procedure above is <code>/db2/db2&lt;dbsid&gt;/sqllib/java</code>.</td>
<td>No further action required</td>
</tr>
<tr>
<td>The JDBC driver is taken directly from the DB2 software installation directory. In this case, the directory retrieved using the procedure above, for example, for DB2 Version 9.7 is <code>/opt/IBM/db2/V9.7/java</code>.</td>
<td>You have to manually adapt the configuration files of the AS Java system so that the correct driver is taken using the following link: <code>/db2/db2&lt;dbsid&gt;/sqllib/java</code> To do this, follow the instructions in SAP Note 867976.</td>
</tr>
</tbody>
</table>

Updating the JDBC Driver in a Distributed System

In a distributed SAP system, application servers run on dedicated hosts other than the database host. Therefore, these application servers need their own JDBC driver files. If the JDBC driver is not taken from `.../global/db6`, update the JDBC driver file (`db2jcc.jar` or `db2jcc_license_cu.jar`) manually as follows:

1. Mount the DVD `DB2 V11.1 Client DVD` to directory `<client_dvd_mount>`.  
2. As user `<sapsid>adm`, copy the JDBC driver to the destination directory using the following command:  
   ```
   cp <client_dvd_mount>/CLIENT/jdbc/* <rdbms.driverLocation_dir>
   ```

   **Note**

   If the JDBC driver is not taken from the kernel `exe` directory, which is visible on all servers, you have to repeat this step on all application servers.

3.3 Upgrading the Instance and Database

**Use**

After the software installation, you have to upgrade the instance and database. Make sure that you perform the steps in the given sequence:

1. You back up the DB2 server configuration (recommended).
2. You execute the command `db2support -preupgrade` to collect data for the SAP/IBM support staff.
3. You run program `db2ckupgrade` (optional).
4. You disable all client requests (recommended).
5. You upgrade the instance.
6. You upgrade the DB2 database.
7. You enable client requests (recommended).
8. You verify if the database has been successfully upgraded (optional).
9. You perform a backup (recommended).
In the following procedures, replace `<DB2_SWDIR>` with your software installation directory for DB2 11.1.

**Prerequisites**

- You must perform a backup before you start the database upgrade. We recommend that you perform an offline backup. For more information about backup options, see *Backing up databases before or after upgrade* in the IBM Knowledge Center at https://www.ibm.com/support/knowledgecenter/SSEPGG_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/t0007139.html.
- Make sure that there is enough free disk space available. For more information about space requirements, see the relevant section in *Upgrade Requirements* [page 8].

**Procedure**

**Backing Up the DB2 Server Configuration (Recommended)**

During the upgrade, DB2 configuration parameters are changed automatically. To keep track of the changes, we recommend that you back up the DB2 server configuration before and after the actual upgrade. By comparing the files that contain the DB2 configuration settings after the upgrade has finished, you can review the changes.

DB2 is configured by setting the following:
- Environment variables
- DB2 profile registry variables
- DB2 database manager configuration parameter
- DB2 database configuration parameter

To back up the database configuration, perform the following steps:

1. Log on to the database server as user `db2<dbsid>`.
2. Create a directory `<config_bkp>` where you store the configuration using a command such as the following:
   ```bash
   mkdir /db2/db2<dbsid>/cfg_backup
   ```
3. Change to the newly created directory `<config_bkp>` using the following command:
   ```bash
   cd /db2/db2<dbsid>/cfg_backup
   ```
4. Back up the database server configuration using the following commands:
   ```bash
   env > env_before_upg.txt
   db2set -all > reg_before_upg.txt
   db2 get dbm cfg > dbm_before_upg.txt
   db2 get db cfg for <SAPSID> > db_before_upg.txt
   ```

After the database upgrade, you back up the database configuration again and compare the files that contain the configuration before and after the upgrade. For more information, see *Backing Up the DB2 Server Configuration After the Migration (Recommended)* [page 41].
Collecting Data for SAP/IBM Support Using `db2support –preupgrade`

To help the SAP/IBM support troubleshoot problems that might occur during or after the database upgrade, collect environment and configuration data as follows (only as of DB2 V9.7 Fix Pack 5 or higher):

1. Log on to the database server as `db2<dbsid>`.
2. Execute the command `db2support –preupgrade`. This command stores the collected data in the file `db2support_preupgrade.zip` in the current directory.

Running Program `db2ckupgrade` (Optional)

The `db2ckupgrade` program checks if certain prerequisites for the upgrade are met. This program is automatically called by `db2iupgrade` (see below). If it encounters problems, the upgrade does not start.

You can start `db2ckupgrade` manually before the upgrade.

**Note**
This is only a check and does not affect your database.

**Note**
In a partitioned database environment, you must run `db2ckupgrade` only on the catalog partition.

1. Log on to the database server as user `<sapsid>adm`.
2. Stop your SAP system and the DB2 instance using the following commands:
   
   ```sh
  stopsap
db2stop force
   ```
3. Log on to the database server as user `db2<dbsid>`.
4. Start the database manager using the following command:
   
   ```sh
db2start
   ```
5. Run the `db2ckupgrade` program using the following command:
   
   ```sh
<DB2_SWDIR>/instance/db2ckupgrade –e –l /tmp/upgrade.log
   ```
6. Check the file `upgrade.log` for possible errors. If no errors are found, the following message is displayed:
   
   Version of DB2CKUPGRADE being run: VERSION "11.1"
   ...
   DBT5508I The db2ckupgrade utility completed successfully. The database or databases can be upgraded.

Disabling Client Requests (Recommended)

Connections to your DB2 database are not only made by the SAP system. A central DBA Cockpit installation, the Performance Warehouse or the Monitoring and Alerting Infrastructure within a central Solution Manager system in your SAP system landscape regularly check if connections are possible and retrieve relevant data. After the upgrade of the DB2 instance and before the upgrade of the DB2 database, DB2 accepts only one connection. If in this situation one of the previously mentioned connections is made to the DB2 database, you will not be able to upgrade your database. An attempt to do so results in the following error message:

`SQL1035N The operation failed because the specified database cannot be connected to in the mode requested. SQLSTATE=57019`
We therefore recommend that you disable all client requests to your DB2 database by performing the following steps:

1. Log on to the database server as user db2<dbsid>.
2. Enter the following command:
   
   ```
   db2set -null DB2COMM
   ```

   After the next restart of the DB2 instance, no communication subsystem is initialized and outside connections to DB2 are not possible. Note that you may see error messages, for example, in the alerting infrastructure that inform you that your database is not available.

### Upgrading the Instance

**Caution**

In a partitioned database environment, upgrade the instance on the database partition server that owns the home directory of the instance owner.

1. Log on to the database server as user <sapsid>adm.
2. Stop your SAP system and the DB2 instance using the following commands:
   
   ```
   stopsap
db2stop force
db2 terminate
   ```

3. Log on to the database server as user root.
4. Upgrade the instance using the following command:
   
   ```
   <DB2_SWDIR>/instance/db2iupgrade –u db2<dbsid> db2<dbsid>
   ```

**Note**

To check if all requirements for an upgrade are met, db2iupgrade calls the program db2ckupgrade in the background. If db2ckupgrade finds problems, the DB2 instance is not upgraded. In this case, correct the problem and start db2iupgrade again.

If the instance was successfully upgraded, the following message is displayed:

Program db2iupgrade completed successfully.

The upgrade command saves a backup copy of the instance directory ~db2<dbsid>/sqlib to one of the following directories:

- ~db2<dbsid>/sqlib_v97
- ~db2<dbsid>/sqlib_v101
- ~db2<dbsid>/sqlib_v105

The files are then adapted in the ~db2<dbsid>/sqlib directory.

During the instance upgrade, the database manager configuration of DB2 11.1 is merged with the settings of the database manager configuration of DB2 Version 9.7, DB2 Version 10.1, or DB2 Version 10.5.

### Upgrading the DB2 Database

Since the DB2 system catalog has been changed with DB2 Version 11.1, you have to upgrade the database.

**Caution**

In a partitioned database environment, perform the database upgrade on the catalog database partition server.
1. Log on to the database server as user db2<dbsid>.
2. Upgrade the database using the following commands:

   ```
   db2start
   db2 upgrade database <SAPSID>
   ```

   The time it takes to upgrade the database depends on the size of the system catalog. For a standard SAP NetWeaver 7.0 ABAP only, the upgrade approximately takes 10 to 30 minutes. If the upgrade completes successfully, the following message is displayed:

   The UPGRADE DATABASE command completed successfully.

   **Note**

   If the database upgrade fails, the error message SQL1704N is displayed describing the cause of the failure. For a list of possible solutions for each reason code, enter `db2 "? SQL1704"`.

   One of the most common causes of upgrade failure is that there is not enough log file space available. In this case, the following error is returned:

   SQL1704N Database migration failed. Reason code "3".

   **Note**

   If the database upgrade succeeds but additional actions are required, the warning SQL1499W is displayed describing the cause of the failure. In this case, see the DB2 administration log `/db2/<DBSID>/db2dump/db2<dbsid>.nfy` for more information.

**Enabling Client Requests (Recommended)**

If you disabled client requests to your database as recommended above, you should now enable them again as follows:

1. Log on to the database server as user db2<dbsid>.
2. Enter the following command:

   ```
   db2set DB2COMM=
   ```

   The registry variable `DB2COMM` will be reset to its original value `TCP/IP` due to the aggregate registry variable `DB2_WORKLOAD` set to `SAP`.

3. Use the following command to check that `DB2COMM` is actually set to `TCP/IP`:

   ```
   db2set DB2COMM
   ```

   The value `TCP/IP` should be displayed for the registry variable.

4. If the database instance is already running, stop and start it with the following command:

   ```
   db2stop
   db2start
   ```

**Verifying the Database Upgrade (Optional)**

To verify the success of the database upgrade, you can test if a database activation succeeds by performing the following steps:

1. Log on to the database server as user db2<dbsid>.
2. Start the database manager using the following command:

   ```
   db2start
   ```

3. Activate the database using the following command:

   ```
   db2 activate database <SAPSID>
   ```
After you activated the database, check the `db2diag.log` in `/db2/<DBSID>/db2dump` for possible problems.

4. Optional: Run the DB2 copy validation tool using the following command:
   
   `db2val`

   The DB2 copy validation tool verifies basic functions of a DB2 software installation and generates a report with its findings.

Performing a Backup (Recommended)

⚠️ Caution

We strongly recommend that you perform a full offline backup of the upgraded database.
4 Upgrading the Database under Windows

4.1 Introduction

Purpose

The following sections describe the required steps for the database upgrade when your operating system is Windows Server 2012 or higher. Older Windows Server releases are not supported with DB2 11.1.

⚠️ Caution

You must perform a backup before you start the database upgrade. We recommend that you perform an offline backup.

Process Flow

The database upgrade consists of the following steps that you **must** perform in the **specified** order:

1. You install the DB2 software [page 27].
2. You upgrade the instance and the database in one step [page 37].

⚠️ Caution

After the database upgrade, you **must** perform a full backup of your database.

3. You perform post-upgrade activities [page 40].

4.2 Installation of the DB2 Software

4.2.1 Introduction

The installation of the DB2 11.1 software consists of the following steps that you **must** perform in the **specified** order:

- Installing the Database Software on the Database Server [page 28]
- Updating the Database Client Software [page 35]
4.2.2 Installing the Database Software on the Database Server

Use

The following section describes how you install the database software on the database server for Windows operating systems.

⚠️ Caution

When you install DB2 11.1 on your Windows host, all existing instances of DB2 Version 9.7, DB2 Version 10.1, or DB2 Version 10.5 are automatically upgraded to DB2 11.1. Therefore, you must upgrade all your databases to DB2 11.1 after you have installed the DB2 11.1 software.

⚠️ Caution

If you are running a partitioned DB2 database system, the DB2 11.1 software must be available in exactly the same directory on all database hosts. Therefore, we recommend that you install the software on a share that is accessible on all database hosts.

Prerequisites

- You must perform a backup before you start the database upgrade. We recommend that you perform an offline backup.
- Make sure that you check all DB2 instances with the db2ckupgrade program before installing the DB2 11.1 software as described in Running Program db2ckupgrade later in this section.
- Check that there is enough free disk space available. If there is not enough free space available, the upgrade of your DB2 database fails.
  
  You need to check the following:
  
  - System catalog tablespace SYSCATSPACE
    
    If SYSCATSPACE is not an AUTOEXTENT or an AUTOSTORAGE tablespace, you must ensure that at least half of the pages is free. To find out the number of used and free pages, use the following command in a DB2 command window:
    
    `db2 "LIST TABLESPACES SHOW DETAIL"`
  
  - Temporary table spaces
    
    SAP systems use SMS-based temporary table spaces. Make sure that the temporary table spaces can grow to at least twice the size of SYSCATSPACE.
  
  - Log space size
    
    As a rough guideline, make sure that your log space is at least the same size as SYSCATSPACE. If you also followed the parameter recommendations for the database parameters LOGFILSZ, LOGPRIMARY, and LOGSECOND as described in the relevant SAP Note, the upgrade should run without problems. The SAP Notes for standard parameter settings are as follows:
    
    - DB2 11.1: 2303771
    - DB2 10.5: 1851832
Procedure

Backing Up the DB2 Server Configuration (Recommended)

During the upgrade, DB2 configuration parameters are changed automatically. To track the changes, we recommend that you back up the DB2 server configuration before and after the upgrade. By comparing the files that contain the DB2 configuration settings after the database upgrade has finished, you can review the changes.

DB2 is usually configured by setting the following:

- Environment variables
- DB2 profile registry variables
- DB2 database manager configuration
- DB2 database configuration

To back up the DB2 server configuration, proceed as follows:

1. Log on to the database server as user `db2<dbsid>` and open a DB2 command window.
2. Create a directory `<config_bkp>` where you store the configuration using a command such as the following:
   ```
   mkdir <drive>:\db2\db2<dbsid>\cfg_backup
   ```
3. Change to the newly created directory `<config_bkp>` using the following command:
   ```
   cd <drive>:\db2\db2<dbsid>\cfg_backup
   ```
4. Back up the database server configuration using the following commands:
   ```
   set > env_before_upg.txt
   db2set -all > reg_before_upg.txt
   db2 get dbm cfg > dbm_before_upg.txt
   db2 get db cfg for <SAPSID> > db_before_upg.txt
   ```

After the database upgrade, you back up the database configuration again and compare the files that contain the configuration before and after the database upgrade. For more information, see Backing Up the DB2 Server Configuration After the Upgrade (Recommended) [page 41].

Collecting Data for SAP/IBM Support Using `db2support –preupgrade`

To help the SAP/IBM support troubleshoot problems that might occur during or after the database upgrade, collect environment and configuration data as follows (only as of DB2 V9.7 Fix Pack 5 or higher):

1. Log on to the database server as `db2<dbsid>`. 

---

**Note**

In a partitioned database environment, you **only** need to check the size of the log space on the catalog partition.

2. Execute the command `db2support -preupgrade`. This command stores the collected data in the file `db2support_preupgrade.zip` in the current directory.

**Running Program db2ckupgrade**

Before you install the DB2 11.1 software, run the program `db2ckupgrade` to make sure that you can upgrade all instances without problems.

**Note**

This is only a check and does not affect any of your databases.

**Note**

Run `db2ckupgrade` on your database server for all DB2 instances. In a partitioned database environment, you must run `db2ckupgrade` only on the catalog partition.

1. Stop the SAP system, for example, using the SAP plug-in for the Microsoft Management Console (MMC).
2. Log on to the database server as user `db2<dbsid>` and open a DB2 command window.
3. Stop and restart the database manager using the following commands:
   ```
   db2stop force
db2start
   ```
4. Change to the following directory:
   ```
   cd <CD_drive>\WINDOWS_AMD64\ESE\image\db2\Windows\utilities
   ```
5. Run the `db2ckupgrade` program using the following command:
   ```
   db2ckupgrade -e -l <A_WRITABLE_DIRECTORY>\upgrade.log
   ```
   `<A_WRITABLE_DIRECTORY>` can be any directory to which you have write access, for example:
   ```
   db2ckupgrade -e -l c:\temp\upgrade.log
   ```
6. Check the file `upgrade.log` for errors. If no errors are found, the following message is displayed:
   ```
   Version of DB2CKUPGRADE being run: VERSION "11.1".
   ...
   DBT5508I The db2ckupgrade utility completed successfully. The database or databases can be upgraded.
   ```

**Disabling All Client Requests (Recommended)**

Connections to your DB2 database are not only made by the SAP system. A central DBA Cockpit installation, the Performance Warehouse or the Monitoring and Alerting Infrastructure within a central Solution Manager system in your SAP system landscape regularly check if connections are possible and retrieve relevant data. After the upgrade of the DB2 instance and before the upgrade of the DB2 database, DB2 accepts only one connection. If in this situation one of the previously mentioned connections is made to the DB2 database, you will not be able to upgrade your database. An attempt to do so results in the following error message:

`SQL1035N The operation failed because the specified database cannot be connected to in the mode requested.
SQLSTATE=57019`

We therefore recommend that you disable all client requests to your DB2 database by performing the following steps:

1. Log on to the database server as user `db2<dbsid>` and open a DB2 Command Window.
2. Enter the following command:
   ```
   db2set -null DB2COMM
   ```
After the next restart of the DB2 instance, no communication subsystem is initialized and outside connections to DB2 are not possible. Note that you may see error messages, for example, in the alerting infrastructure that inform you that your database is not available.

Installing the Software

1. Log on to the database server as user `<sapsid>adm`.
2. Stop all your SAP systems and, if they are running, stop the DB2 services.
   Determine the software installation directory of the current DB2 instance by using the following command in a DB2 command window:
   ```
   db2level
   ```

   **Example**
   
   The output contains the following line that indicates the installation directory of the DB2 software `<INSTDIR>`:
   ```
   ...
   Product is installed at "<INSTDIR>"
   ```

3. Start the program for installing the DB2 database software using the following command:
   ```
   <DVD_drive>:\WINDOWS_X86_64\ESE\image\setup
   ```
   The Welcome screen of the DB2 Setup Launchpad appears.

4. In the navigation frame of the DB2 Setup Launchpad, under DB2 Version 11.1 Workgroup, Enterprise and Advanced Editions, choose Install a Product and Work with Existing.

   **Caution**
   
   Do not choose Install New.

   **Note**
   
   - By choosing Work with Existing, you install the software and automatically upgrade all DB2 instances. The existing DB2 software is updated.
   - As of DB2 10.5, there is one install image for the DB2 Workgroup, Enterprise and Advanced Edition. The applied DB2 license decides which editions will be actually used.
   - In this document, we only describe how to upgrade an existing DB2 copy. For SAP systems with SAP kernel version 7.00 SR3 and higher, it is technically possible to install a new DB2 copy. However, you have to upgrade the instance to the new copy afterwards. For more information, see Upgrading DB2 Version 10.5 or DB2 Version 10.1 instances in the IBM DB2 Information Center at [http://www.ibm.com/support/knowledgecenter/SSEPGG_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/t0007196.html](http://www.ibm.com/support/knowledgecenter/SSEPGG_11.1.0/com.ibm.db2.luw.qb.upgrade.doc/doc/t0007196.html).

5. On the screen Select the DB2 copy to work with, choose the DB2 copy with the installation path `<INSTDIR>` (see step 2) and choose Launch DB2 Setup wizard.
The wizard **DB2 Setup – DB2 Enterprise Server Edition** appears.

**Caution**

The exact sequence of the installation steps that follow depends on various factors, for example, your operating system, already installed DB2 components, your installation choices, and the DB2 Fix Pack level.

Therefore, we cannot provide a detailed step-by-step procedure for the DB2 software installation in this document.

The following steps can appear, but not necessarily in the sequence shown below. They outline important points that you have to consider when installing DB2 in an SAP environment:

- If a warning appears that the upgrade will apply changes to your DB2 copy, confirm it and choose **Next**.

**Note**

If you receive a warning that DB2 is currently running and locked by some processes, choose **Yes** to shut down these processes.

- Accept the license agreement and choose **Next**.
• On the screen **Select the installation type**, choose **Typical** and then **Next**.

• On the screen **Select the installation, response file creation or both**, choose **Install DB2 Server Edition on this computer** and then **Next**.

• On the **Installation folder** screen, confirm the installation directory by choosing **Next**.

• On the **Select the IBM SSH server installation folder and startup option** screen, choose **Do not autostart the SSH server** and then **Next**.

**Note**
The IBM SSH server is only required by IBM Optim tools.

• On the screen **Set the DB2 copy name**, accept the proposed copy name and then choose **Next**.

• On the screen **Set user information for the default DB2 instance**, enter the password and user name for user `db2<dbsid>` and then choose **Next**.

![Set user information for the default DB2 instance](image)

**Note**
If `db2<dbsid>` is a domain user, enter the correct name of the domain. If it is a local user, keep the default setting **None – use local user account**.

**Caution**
Do not enter the local host name as a domain.
If the screen Enable operating system security for DB2 objects appears, do the following:

- Select the Enable operating system security checkbox.
- As group name for the DB2 administrators group, enter DB2ADMINNS_<SAPSID>, and as group name for the DB2 users group enter DB2USERS_<SAPSID>. These groups are created by the SAP installation tool by default. If your system was installed with an older version of the SAP installation tool, these two groups may not exist. In this case, use the existing groups DB2ADMINNS and DB2USERS (without the suffix <SAPSID>).
- Click Next.

On the Start copying files screen, start the installation by choosing Install.

If the installation has successfully finished, the Setup is Complete screen appears. To continue, choose Next.

On the Install additional products screen, complete the installation by choosing Finish. If required, reboot the system.

Checking the Availability of the DB2 Service

Check that the DB2 service is running under user db2<dbsid>:

1. Choose Start ➤ Run or open a command prompt.
2. Enter the following command: services.msc /s
3. Right-click DB2<DB2COPY>.<DB2INSTANCE>.
5. Choose Log on and check that this service is running under user db2<dbsid>.
4.2.3 Updating the Database Client Software

Use

You only have to update the DB2 CLI driver once in the shared directory `<drive>:\usr\sap\<SAPSID>\SYS\global\db6`.

Each application server can access this directory. Therefore, after the DB2 CLI driver has been updated, `<drive>:\usr\sap\<SAPSID>\SYS\global\db6` can be used by all application servers.
Procedure

1. Log on to the database server as user <sapsid>adm.
2. Mount the DVD DB2 V11.1 Client DVD.
3. Open the DB2 command window and switch to the directory <drive>:\CLIENT.
4. For an ABAP or an ABAP+Java system, start the db6_update_client.bat script using the following command:
   `db6_update_client.bat -u`
   For a Java-only system, use the following command to update only the JDBC driver:
   `db6_update_client.bat -j`
   For more information and the newest version of the db6_update_client scripts, see SAP Note 1365982 📚.

Result

The new version of the DB2 CLI driver is automatically used after the next SAP system restart and no further action is required.

4.2.3.1 Updating the JDBC Driver in Older SAP Releases

Use

The following section describes how you update the JDBC driver.

⚠️ Caution

The following section only applies to standalone Java-only application servers (that is, the application server does not reside on the database host).

If you are upgrading an ABAP+Java system or a Java-only central system (that is, the application server and the database reside on the same host), you do not have to perform any of the steps described in this section.

Prerequisites

On application servers that only contain a Java stack, the location of the JDBC driver can vary, which means that the appropriate steps to update it can also vary. Therefore, you should first determine the location of the JDBC driver, which depends on your SAP system release level.

Determining the Location of the JDBC Driver for SAP Releases Lower than SAP Basis 7.10
1. Log on to the relevant application server as user <sapsid>adm.
2. Open a command prompt and change to the directory ..\cluster\bootstrap of the Java instance using the following command:
   cd <drive>:\usr\sap\<DBSID>\j2ee\cluster\bootstrap
3. Determine the location of the JDBC driver using the following command:
   find "driver" bootstrap.properties
   The value of this property of the rdbms.driverLocation is returned. It contains the location of the JDBC driver files that are currently used.

Determining the Location of the JDBC Driver for SAP Releases with SAP Basis 7.10 and Higher

1. Log on to the respective application server as user <sapsid>adm.
2. Change to the profile directory of the Java instance using the following command:
   cd <drive>:\usr\sap\<SAPSID>\SYS\profile
3. Enter the following command:
   find dbdriver <instance_profile>
   The path to the JDBC driver files is returned.

Procedure

Updating the JDBC Driver (If Necessary)

If the JDBC driver is not taken from ...\global\db6, update the JDBC driver file (db2jcc.jar or db2jcc4.jar) manually as follows:

1. Mount the DVD DB2 V11.1 Client DVD to directory <drive>:\<client_dvd_mount>.
2. As user <sapsid>adm, copy the JDBC driver to the destination directory using the following command:
   copy <client_dvd_drive>:\CLIENT\jdbc\* <rdbms.driverLocation_dir>

Caution

If the JDBC driver is not taken from the kernel exe directory, which is visible on all servers, you have to repeat this step on all application servers.

4.3 Upgrading the Database

Use

Since the DB2 system catalog has been changed, you have to upgrade the DB2 database. Make sure that you perform all steps in the given sequence:

1. You upgrade the DB2 database.
2. You enable client requests (recommended).
3. You verify if the database has been successfully upgraded (optional).
4. You perform a backup (recommended).

**Caution**

In a partitioned database environment, perform the database upgrade on the catalog database partition server.

**Note**

You need to upgrade all DB2 databases that are located on your computer.

**Procedure**

**Upgrading the DB2 Database**

1. Log on to the database server as user `db2<dbsid>`.
2. Open a DB2 command window and enter the following commands:
   ```plaintext
db2stop force
db2start
db2 upgrade database <SAPSID>
```

The time it takes to upgrade the database depends on the size of the system catalog. For a standard SAP NetWeaver ABAP 7.0, the database upgrade takes approximately 10 to 30 minutes. If the database upgrade has been completed successfully, the following message is displayed:

```
DB20000I The UPGRADE DATABASE command completed successfully.
```

**Note**

If the database upgrade fails, the error message SQL1704N is displayed describing the cause of the failure. For a list of possible solutions for each reason code, enter `db2 "? SQL1704"`.

One of the most common causes of upgrade failure is that there is not enough log file space available. In this case, the following error is returned:

```
SQL1704N Database migration failed. Reason code "3".
```

**Note**

If the database upgrade succeeds but additional actions are required, the warning SQL1499W is displayed describing the cause of the failure. In this case, see the DB2 administration log messages that are written to the Windows Event Log for more information.

**Enabling Client Requests (Recommended)**

If you disabled client requests to your database as recommended in *Installing the Database Software on the Database Server* [page 28], you should now enable them again as follows:

1. Log on to the database server as user `db2<dbsid>` and open a DB2 Command Window.
2. Enter the following command:
   ```plaintext
db2set DB2COMM=
   ```
The registry variable `DB2COMM` will be reset to its original value `TCP/IP` due to the aggregate registry variable `DB2_WORKLOAD` set to `SAP`.

3. Use the following command to check that `DB2COMM` is actually set to `TCP/IP`:
   
   ```bash
   db2set DB2COMM
   ```
   
   The value `TCP/IP` should be displayed for the registry variable.

4. If the database instance is already running, stop and start it with the following commands:
   
   ```bash
   db2stop
   db2start
   ```

**Verifying the Database Upgrade (Optional)**

To verify the success of the database upgrade, you can test if database activation succeeds by performing the following steps:

1. Log on to the database server as user `<sapsid>adm` and open a DB2 Command Window.
2. Start the database manager using the following command:
   
   ```bash
   db2start
   ```
3. Activate the database using the following command:
   
   ```bash
   db2 activate database <SAPSID>
   ```
4. Optional: Run the DB2 copy validation tool using the following command:
   
   ```bash
   db2val
   ```
   
   The DB2 copy validation tool validates basic functions of a DB2 software installation and generates a report with its findings.
5. After you have activated the database, check the `db2diag.log` in `<drive>:\db2\<DBSID>\db2dump` for possible problems.

**Performing a Backup (Recommended)**

We strongly recommend that you perform a full offline backup of the upgraded database.
5  Post-Upgrade Activities

5.1  Overview

After upgrading the instance and database, you perform the following post-upgrade activities:

- Updating the startdb Scripts (Only for Linux and UNIX) [page 40]
- Running the db6_update_db Script (Mandatory) [page 40]
- Backing Up the DB2 Server Configuration After the Upgrade (Recommended) [page 41]
- Installing the DB2 License (Mandatory) [page 42]
- Checking Configuration Settings (Recommended) [page 43]
- Updating Data Extractors in SAP Solution Manager (Optional) [page 43]
- Post-Upgrade Activities for Near-Line Storage (NLS) Systems [page 44]

5.2  Updating the startdb Scripts (Only for Linux and UNIX)

Use

As part of the start procedure for the application server ABAP and application server Java, the scripts `startdb` and `startj2eeedb` are used to start the database manager. SAP systems running on Windows do not use these scripts.

For Linux and AIX: If you run into an error when starting the SAP system via `startdb` or `startj2eeedb`, you might need to update these scripts. To do so, follow the instructions in SAP Note 1734769.

5.3  Running the db6_update_db Script (Mandatory)

Use

After the upgrade, you must run the `db6_update_db` script to avoid problems. The `db6_update_db` script checks various settings and enables some features, for example, the automatic resize function for the tablespaces. The script also reorganizes the tables of the DB2 system catalog, updates the statistics for these tables, and rebinds all invalid packages.
The following scripts are available on the RDBMS DVD and as attachments to SAP Note 1365982:

<table>
<thead>
<tr>
<th>OS Platform</th>
<th>Script</th>
</tr>
</thead>
<tbody>
<tr>
<td>Linux and UNIX</td>
<td>db6_update_db.sh</td>
</tr>
<tr>
<td>Windows</td>
<td>db6_update_db.bat</td>
</tr>
</tbody>
</table>

**Recommendation**

We recommend that you use the latest version of these scripts that are available in SAP Note 1365982.

**Procedure**

Download the latest version of the scripts from SAP Note 1365982 and follow the instructions in the SAP Note to run the scripts.

### 5.4 Backing Up the DB2 Server Configuration After the Upgrade (Recommended)

**Use**

If you created a backup of the DB2 server configuration before the upgrade, it is useful to create another backup of the configuration after the upgrade.

**Procedure**

**Procedure on Linux and UNIX**

1. Log on to the database server as user `db2<dbsid>`.
2. Change to the previously created configuration backup directory `<config_bkp>` using the following command:
   
   ```
   cd /db2/db2<dbsid>/<config_bkp>
   ```
3. Back up the database server configuration using the following commands:
   ```
   env > env_after_mig.txt
   db2set -all > reg_after_mig.txt
   db2 get dbm cfg > dbm_after_mig.txt
   db2 get db cfg for <SAPSID> > db_after_mig.txt
   ```

**Procedure on Windows**

Upgrading to Version 11.1 of IBM Db2 for Linux, UNIX, and Windows

Post-Upgrade Activities
1. Log on to the database server as user `db2<dbsid>` and open a DB2 command window.

2. Change to the previously created configuration backup directory `<config_bkp>`, for example, using the following the command:
   ```bash
   cd <drive>:\db2\db2<dbsid>\<config_bkp>
   ```

3. Back up the database server configuration using the following commands:
   ```bash
   set > env_after_upg.txt
   db2set -all > reg_after_upg.txt
   db2 get dbm cfg > dbm_after_upg.txt
   db2 get db cfg for <SAPSID> > db_after_upg.txt
   ```

**Result**

You can now compare the configuration settings before and after the upgrade by comparing the respective `-before_upg` and `-after_upg` files using appropriate tools.

### 5.5 Installing the DB2 License (Mandatory)

**Use**

After the database upgrade, you have to install the DB2 license.

**Procedure**

- If you have acquired your DB2 license from SAP (so-called application-specific license or ASL), see SAP Note [816773](https://support.sap.com) to install the license.

  **Note**

  For multi-partition databases: Install the license on each database host.

- If you purchased DB2 directly from IBM, contact your IBM sales representative.
5.6 Checking Configuration Settings (Recommended)

Use

With every DB2 release, the database and database manager configuration changes. Some parameters are dropped, some are added, and the meaning of some parameters is changed. The `db6_update_db` script performs some basic checks after the database upgrade and sets some parameters to recommended values.

**Note**

A correct configuration is essential for smooth and high-performance operation of the database.

Procedure

Compare the settings for the database and database manager configuration parameters in your upgraded database with the values recommended in SAP Note 2303771, which always contains the most up-to-date proposals for these parameters from SAP. In particular, pay attention to the `LOGFILSIZ` and `LOGBUFSZ` database parameters: In DB2 10.1, the size of the log record header has been increased. We recommend that you increase the value of the database configuration parameters `LOGFILSIZ` and `LOGBUFSZ` by 10 to 15 percent if you upgraded from DB2 9.7. You can use the parameter check tool from the DBA Cockpit for this comparison. The tool downloads the recommended parameter settings from SAP Note 2303771, compares it to your current configuration, and allows you to correct the settings based on the recommendations from the SAP Note.

**More Information**

For more information about the parameter changes in DB2 11.1, see Changed functionality in the IBM Knowledge Center at https://www.ibm.com/support/knowledgecenter/SSEPGG_11.1.0/com.ibm.db2.luw.wn.doc/doc/c0023232.html.

5.7 Updating Data Extractors in SAP Solution Manager (Optional)

Use

If your database is connected to SAP Solution Manager, you have to update the data extractors for the upgraded database using the setup wizard of SAP Solution Manager.
To monitor DB2 11.1, use SAP Solution Manager 7.1 or higher.

**Procedure**

1. Log on to your SAP Solution Manager system and call transaction SOLMAN_SETUP. The screen SAP Solution Manager: Configuration appears in a separate Web browser.
2. In the navigation frame, choose Managed Systems Configuration.
3. On the Database tab page, choose the upgraded database and the Configure Database pushbutton. The setup wizard of the SAP Solution Manager system appears.
5. Execute the automatic activity Database Extractor Setup.

**Result**

The setup wizard updates the back end of the DBA Cockpit and reschedules the data extractors for the SAP Solution Manager or the Database Performance Warehouse feature.

### 5.8 Post-Upgrade Activities for Near-Line Storage (NLS) Systems

Depending on your DB2 version and SAP BW release and support package, BLU Acceleration might be enabled automatically in the NLS database during an upgrade of your DB2 database. However, although BLU Acceleration might be advisable under certain circumstances, it requires that your NLS system meets certain hardware and software requirements.

Depending on your system settings, BLU Acceleration might be enabled automatically for new NLS objects after an installation or upgrade if all of the following applies:

- You have upgraded your NLS database to IBM DB2 10.5 FP1 or higher.
- The relevant SAP BW system runs on one of the following releases and support packages, or has been upgraded to one of the following releases and support packages:

<table>
<thead>
<tr>
<th>SAP BW Release</th>
<th>Support Package</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.01 (7.0 EHP1)</td>
<td>18</td>
</tr>
<tr>
<td>SAP BW Release</td>
<td>Support Package</td>
</tr>
<tr>
<td>---------------</td>
<td>----------------</td>
</tr>
<tr>
<td>7.02 (7.0 EHP2)</td>
<td>18</td>
</tr>
<tr>
<td>7.30</td>
<td>14</td>
</tr>
<tr>
<td>7.31 (7.3 EHP1)</td>
<td>17</td>
</tr>
<tr>
<td>7.4</td>
<td>12</td>
</tr>
<tr>
<td>7.5</td>
<td>0</td>
</tr>
<tr>
<td>7.51</td>
<td>0</td>
</tr>
<tr>
<td>7.52</td>
<td>0</td>
</tr>
</tbody>
</table>

If these conditions apply, you need to make an informed decision about using BLU Acceleration.

Follow the instructions of SAP Note [2517998][1] to avoid serious issues with data archiving after the upgrade.
6 Disclaimer

By following links to IBM Documentation you are leaving the SAP product documentation and entering a site that is not hosted by SAP. By using the link, YOU AGREE that unless expressly stated otherwise in your agreements with SAP you are about to access an external webpage which is not part of SAP’s offering:

(i) the content of the linked-to site and any further external site is not product documentation and you may not infer any product documentation claims against SAP based on this information;

(ii) the fact that SAP provides links to external sites does not imply that SAP agrees or disagrees with the contents and information provided on such sites. SAP does not guarantee the correctness of the information provided.

(iii) SAP DOES NOT GIVE ANY REPRESENTATION REGARDING THE QUALITY, SAFETY, SUITABILITY, ACCURACY OR RELIABILITY OF ANY EXTERNAL WEBPAGE OR ANY OF INFORMATION, CONTENT AND MATERIALS PROVIDED THEREON;

(iv) YOU VISIT THOSE EXTERNAL WEBPAGES ENTIRELY AT YOUR OWN RISK. SAP SHALL NOT BE DIRECTLY OR INDIRECTLY RESPONSIBLE OR LIABLE FOR ANY DAMAGE OR LOSS CAUSED OR ALLEGED TO BE CAUSED BY OR IN CONNECTION WITH YOUR USE OF OR RELIANCE ON ANY CONTENT, GOODS OR SERVICES AVAILABLE ON OR THROUGH ANY SUCH LINKED WEBPAGE.
Important Disclaimers and Legal Information

Coding Samples

Any software coding and/or code lines / strings ("Code") included in this documentation are only examples and are not intended to be used in a productive system environment. The Code is only intended to better explain and visualize the syntax and phrasing rules of certain coding. SAP does not warrant the correctness and completeness of the Code given herein, and SAP shall not be liable for errors or damages caused by the usage of the Code, unless damages were caused by SAP intentionally or by SAP's gross negligence.

Accessibility

The information contained in the SAP documentation represents SAP's current view of accessibility criteria as of the date of publication; it is in no way intended to be a binding guideline on how to ensure accessibility of software products. SAP in particular disclaims any liability in relation to this document. This disclaimer, however, does not apply in cases of willful misconduct or gross negligence of SAP. Furthermore, this document does not result in any direct or indirect contractual obligations of SAP.

Gender-Neutral Language

As far as possible, SAP documentation is gender neutral. Depending on the context, the reader is addressed directly with "you", or a gender-neutral noun (such as "sales person" or "working days") is used. If when referring to members of both sexes, however, the third-person singular cannot be avoided or a gender-neutral noun does not exist, SAP reserves the right to use the masculine form of the noun and pronoun. This is to ensure that the documentation remains comprehensible.

Internet Hyperlinks

The SAP documentation may contain hyperlinks to the Internet. These hyperlinks are intended to serve as a hint about where to find related information. SAP does not warrant the availability and correctness of this related information or the ability of this information to serve a particular purpose. SAP shall not be liable for any damages caused by the use of related information unless damages have been caused by SAP's gross negligence or willful misconduct. All links are categorized for transparency (see: http://help.sap.com/disclaimer).